

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
8 August 2002 (08.08.2002)

PCT

(10) International Publication Number  
**WO 02/060506 A1**

- (51) International Patent Classification<sup>7</sup>: **A61L 27/04**, 27/54, A61F 2/06
- (21) International Application Number: PCT/US01/44642
- (22) International Filing Date:  
19 November 2001 (19.11.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/716,146 17 November 2000 (17.11.2000) US
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (*for all designated States except US*): **ADVANCED BIO PROSTHETIC SURFACES, LTD.** [US/US]; 4778 Research Drive, San Antonio, TX 78240 (US).
- (72) Inventor; and
- (73) Inventor/Applicant (*for US only*): **BOYLE, Christopher, T.** [US/US]; 5100 USSA Boulevard #1624, San Antonio, TX 78240 (US).
- (74) Agent: **ROSENBAUM, David, G.**; Rosenbaum & Associates, P.C., Suite #3653, 875 North Michigan Avenue, Chicago, IL 60611 (US).

**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



**WO 02/060506 A1**

(54) Title: **DEVICE FOR IN VIVO DELIVERY OF BIOACTIVE AGENTS AND METHOD OF MANUFACTURE THEREOF**

(57) Abstract: The present invention consists of an implantable structural element for *in vivo* delivery of bioactive active agents to a situs in a body. The implantable structural element may be configured as an implantable prosthesis, such as an endoluminal stent, cardiac valve, osteal implant or the like, which serves a dual function of being prosthetic and a carrier for a bioactive agent. Alternatively, the implantable structural element may simply be an implantable article that serves the single function of acting as a time-release carrier for the bioactive agent.

**DEVICE FOR IN VIVO DELIVERY OF BIOACTIVE AGENTS AND METHOD OF  
MANUFACTURE THEREOF**

**Cross-Reference to Related Applications**

This is a continuation-in-part of U.S. Patent Application Serial No. 09/716,146 filed  
5 November 17, 2000, from which priority is hereby claimed.

**Background of the Invention**

The present invention relates generally to an implantable device for *in vivo* delivery of  
bioactive compounds. The present invention provides an implantable structural material  
10 having a three-dimensional conformation suitable for loading a bioactive agent into the  
structural material, implanting the structural material *in vivo* and releasing the bioactive agent  
from the structural agent to deliver a pharmacologically acceptable level of the bioactive  
agent to an internal region of a body. More particularly, the present invention relates to an  
implantable medical device, such as an endoluminal stent, stent-graft, graft, valves, filters,  
15 occluders, osteal implant or the like, having cavitated regions incorporated within the  
material of the device with micropores that communicate a bioactive agent from the cavity to  
an area external the device.

The present invention may be used for any indication where it is desirable to deliver a  
bioactive agent to a local situs within a body over a period of time. For example, the present  
20 invention may be used in treating vascular occlusive disease, disorders or vascular injury, as  
an implantable contraceptive for delivery of a contraceptive agent delivered intrauterine or  
subcutaneously, to carry an anti-neoplastic agent or radioactive agent and implanted within or  
adjacent to a tumor, such as to treat prostate cancer, for time-mediated delivery of  
immunosuppressants, antiviral or antibiotic agents for treating of autoimmune disorders such  
25 as transplantation rejection or acquired immune disorders such as HIV, or to treat implant or  
non-implant-related inflammation or infections such as endocarditis.

Occlusive diseases, disorders or trauma cause patent body lumens to narrow and  
restrict the flow or passage of fluid or materials through the body lumen. One example of  
occlusive disease is arteriosclerosis in which portions of blood vessels become occluded by  
30 the gradual build-up of arteriosclerotic plaque, this process is also known as stenosis. When  
vascular stenosis results in the functional occlusion of a blood vessel the vessel must be  
returned to its patent condition. Conventional therapies for treatment of occluded body  
lumens include dilatation of the body lumen using bioactive agents, such as tissue  
plasminogen activator (TPA) or vascular endothelial growth factor (VEGF) and fibroblast

growth factor (FGF) gene transfers which have improved blood flow and collateral development in ischemic limb and myocardium (S. Yla-Herttuala, *Cardiovascular Gene Therapy*, Lancet, Jan 15, 2000), surgical intervention to remove the blockage, replacement of the blocked segment with a new segment of endogenous or exogenous graft tissue, or the use of a catheter-mounted device such as a balloon catheter to dilate the body lumen or an arterectomy catheter to remove occlusive material. The dilation of a blood vessel with a balloon catheter is called percutaneous transluminal angioplasty. During angioplasty, a balloon catheter in a deflated state is inserted within an occluded segment of a blood vessel and is inflated and deflated a number of times to expand the vessel. Due to the inflation of the balloon catheter, the plaque formed on the vessel walls cracks and the vessel expands to allow increased blood flow through the vessel.

In approximately sixty percent of angioplasty cases, the blood vessel remains patent. However, the restenosis rate of approximately forty percent is unacceptably high. Endoluminal stents of a wide variety of materials, properties and configurations have been used post-angioplasty in order to prevent restenosis and loss of patency in the vessel.

While the use of endoluminal stents has successfully decreased the rate of restenosis in angioplasty patients, it has been found that a significant restenosis rate continues to exist even with the use of endoluminal stents. It is generally believed that the post-stenting restenosis rate is due, in major part, to a failure of the endothelial layer to regrow over the stent and the incidence of smooth muscle cell-related neointimal growth on the luminal surfaces of the stent. Injury to the endothelium, the natural nonthrombogenic lining of the arterial lumen, is a significant factor contributing to restenosis at the situs of a stent. Endothelial loss exposes thrombogenic arterial wall proteins, which, along with the generally thrombogenic nature of many prosthetic materials, such as stainless steel, titanium, tantalum, Nitinol, etc. customarily used in manufacturing stents, initiates platelet deposition and activation of the coagulation cascade, which results in thrombus formation, ranging from partial covering of the luminal surface of the stent to an occlusive thrombus. Additionally, endothelial loss at the site of the stent has been implicated in the development of neointimal hyperplasia at the stent situs. Accordingly, rapid re-endothelialization of the arterial wall with concomitant endothelialization of the body fluid or blood contacting surfaces of the implanted device is considered critical for maintaining vasculature patency and preventing low-flow thrombosis. To prevent restenosis and thrombosis in the area where angioplasty has been performed, anti-thrombosis agents and other biologically active agents can be employed.

It has been found desirable to deliver bioactive agents to the area where a stent is placed concurrently with stent implantation. Many stents have been designed to delivery bioactive agents to the anatomical region of stent implantation. Some of these stents are biodegradable stents which are impregnated with bioactive agents. Examples of

5 biodegradable impregnated stents are those found in U.S. Pat. Nos. 5,500,013, 5,429,634, and 5,443,458. Other known bioactive agent delivery stents include a stent disclosed in U.S. Pat. No. 5,342,348 in which a bioactive agent is impregnated into filaments which are woven into or laminated onto a stent. U.S. Pat. No. 5,234,456 discloses a hydrophilic stent that may include a bioactive agent adsorbed which can include a biologically active agent disposed

10 within the hydrophilic material of the stent. Other bioactive agent delivery stents disclosed in U.S. Pat. Nos. 5,201,778, 5,282,823, 5,383,927; 5,383,928, 5,423,885, 5,441,515, 5,443,496, 5,449,382, 4,464,450, and European Patent Application No. 0 528 039. Other devices for endoluminal delivery of bioactive agents are disclosed in U.S. Pat. Nos. 3,797,485, 4,203,442, 4,309,776, 4,479,796, 5,002,661, 5,062,829, 5,180,366, 5,295,962, 5,304,121,

15 5,421,826, and International Application No. WO 94/18906. A directional release bioactive agent stent is disclosed in U.S. Patent No. 6,071,305 in which a stent is formed of a helical member that has a groove in the abluminal surface of the helical member. A bioactive agent is loaded into the groove prior to endoluminal delivery and the bioactive agent is therefore in direct apposition to the tissue that the bioactive agent treats. Finally, International

20 Application No. WO 00/18327 discloses a drug delivery stent in which a tubular conduit is wound into a helical stent. The tubular conduit has either a single continuous lumen or dual continuous lumens that extend the entire length of the conduit. The tubular conduit has regions or segments thereof that has pores to permit drug "seepage" from the conduit. One end of the tubular conduit is in fluid flow communication with a fluid delivery catheter,

25 which introduces a fluid, such as drug into the continuous lumen and through the pores. Where biodegradable or non-biodegradable polymer-based or polymer-coated stents have been used, the polymers cause an immune inflammatory response once the drug is eluted out of the polymer. Where a polymer is employed as the bioactive agent carrier, it is, therefore, desirable to isolate the polymer from body tissues in order to limit the immune inflammatory

30 response after the bioactive agent has eluted as can be accomplished with the present invention.

### Summary of the Invention

As used herein the term "bioactive agent" is intended to include one or more pharmacologically active compounds which may be in combination with pharmaceutically acceptable carriers and, optionally, additional ingredients such as antioxidants, stabilizing agents, permeation enhancers, and the like. Examples of bioactive agents which may be used in the present invention include but are not limited to hydrophilic agents, hydrophilic agents, antiviral drugs, antibiotic drugs, steroids, fibronectin, anti-clotting drugs, anti-platelet function drugs, drugs which prevent smooth muscle cell growth on inner surface wall of vessel, heparin, heparin fragments, aspirin, coumadin, tissue plasminogen activator (TPA), urokinase, hirudin, streptokinase, antiproliferatives (methotrexate, cisplatin, fluorouracil, Adriamycin), antioxidants (ascorbic acid, beta carotene, vitamin E), antimetabolites, thromboxane inhibitors, non-steroidal and steroidal anti-inflammatory drugs, immunosuppressants, such as rapamycin, beta and calcium channel blockers, genetic materials including DNA and RNA fragments, complete expression genes, antibodies, lymphokines, growth factors (vascular endothelial growth factor (VEGF) and fibroblast growth factor (FGF)), prostaglandins, leukotrienes, laminin, elastin, collagen, nitric oxide (NO) and integrins.

The inventive structural material has a three dimensional conformation having a geometry and construction in which there is an internal cavity or a plurality of internal cavities within the structural material and a conduit or opening or plurality of conduits or openings which communicate between the internal cavity and external the structural material. The three dimensional conformation of the structural material may assume a cylindrical, tubular, planar, spherical, curvilinear or other general shape which is desired and suited for a particular implant application. For example, in accordance with the present invention there is provided an endoluminal stent that is made of a plurality of structural members that define a generally tubular shape for the endoluminal stent. At least some of the plurality of structural members are comprised of the inventive structural material and have at least one internal cavity and at least one conduit or opening which communicates between the internal cavity and external the stent. Alternate types of implantable devices contemplated by the present invention include, without limitation, stent-grafts, grafts, heart valves, venous valves, filters, occlusion devices, catheters, osteal implants, implantable contraceptives, implantable anti-tumor pellets or rods, or other implantable medical devices.

The inventive stent for delivery of bioactive agents consists generally of a plurality of structural elements, at least some of which have internal cavities that retain the bioactive

agents, and openings that pass between the internal cavities and the surface of the structural elements to communicate the bioactive agent from the internal cavity external the stent. Other than described herein, the present invention does not depend upon the particular geometry, material, material properties or configuration of the stent.

5           Because of their use as a structural scaffold and the requirement that stents be delivered using transcatheter approaches, stents necessarily are delivered in a reduced diametric state and are expanded or allowed to expand *in vivo* to an enlarged diametric state. Thus, all stents have certain structural regions that are subject to higher stress and strain conditions than other structural regions of the stent. Thus, it may be advantageous to position  
10   the internal cavities that retain the bioactive agents in structural regions of the stent that are subjected to relatively lower stress and strain during endoluminal delivery and deployment. Alternatively, where delivery of a bolus of a bioactive agent is desired, internal cavities may be positioned in regions that undergo large deformation during delivery and deployment thereby forcing the bioactive agent out of the internal cavity under the positive pressure  
15   exerted by the deformation. Diffusion forces, then, elute remaining bioactive agent present in either the region of large deformation or the regions of lower stress and strain.

#### **Brief Description of the Drawings**

20           Figure 1 is a perspective view of an implantable member in accordance with the present invention.

          Figure 2 is a perspective view of an endoluminal stent having a plurality of structural members in accordance with the present invention.

          Figure 3 is a cross-sectional view taken along line 3-3 of Figure 2.

          Figure 4 is a cross-sectional view taken along line 4-4 of Figure 2.

25           Figure 5 is a fragmentary perspective view of an alternative embodiment of the inventive endoluminal stent in accordance with the present invention.

          Figure 6 is a cross-sectional view taken along line 6-6 of Figure 5.

          Figure 7 is a cross-sectional view taken along line 7-7 of Figure 5.

30           Figure 8 is a perspective view of a planar structural element for delivery of a bioactive agent in accordance with the present invention.

          Figure 9 is a cross-sectional view taken along line 9-9 of Figure 8.

          Figure 10 is a cross-sectional view taken along line 10-10 of Figure 8.

          Figure 11 is a plan view of an alternative embodiment of the endoluminal stent in accordance with the present invention.

Figure 12 is a photomicrographic cross-sectional view taken along line 12-12 of Figure 11.

Figures 13A-13F are sequential diagrams illustrating the inventive method for fabricating the inventive endoluminal stent.

5 Figure 14 is are side-by-side photomicrographs illustrating selective formation of an internal cavity within the inventive endoluminal stent.

#### **Detailed Description of the Preferred Embodiments**

As noted above, the term "bioactive agent" is intended to encompass one or more pharmacologically active compounds which may be in combination with pharmaceutically acceptable carriers and, optionally, additional ingredients such as antioxidants, stabilizing agents, permeation enhancers, and the like. Examples of bioactive agents which may be used in the present invention include but are not limited to antibiotic drugs, antiviral drugs, neoplastic agents, steroids, fibronectin, anti-clotting drugs, anti-platelet function drugs, drugs which prevent smooth muscle cell growth on inner surface wall of vessel, heparin, heparin fragments, aspirin, coumadin, tissue plasminogen activator (TPA), urokinase, hirudin, streptokinase, antiproliferatives (methotrexate, cisplatin, fluorouracil, Adriamycin), antioxidants (ascorbic acid, beta carotene, vitamin E), antimetabolites, thromboxane inhibitors, non-steroidal and steroidal anti-inflammatory drugs, immunosuppressants, such as rapamycin, beta and calcium channel blockers, genetic materials including DNA and RNA fragments, complete expression genes, antibodies, lymphokines, growth factors (vascular endothelial growth factor (VEGF) and fibroblast growth factor (FGF)), prostaglandins, leukotrienes, laminin, elastin, collagen, nitric oxide (NO), and integrins.

With particular reference to Figure 1, the present invention consists generally of a body element 10 having a three-dimensional conformation defining X, Y and Z-axes of the body element 10 and at least one of a plurality of interior cavities 12 defined within the body element 10, and at least one of a plurality of passages or pores 14 which communicate between the at least one of a plurality of interior cavities 12 and exterior to the body element 10. While the body element 10 depicted in Figure 1 is of a generally cylindrical three dimensional conformation, alternative three dimensional conformations, such as planar, spherical, ovular, tetrahedral, curvilinear or virtually any other three dimensional conformation suitable for implantation into a living body is contemplated by the present invention. The plurality of passages 14 have dimensions sufficient to permit the bioactive agent to elute by diffusion, osmotic pressure or under the influence of a positive pressure applied by cellular in-growth into the plurality of interior cavities 12.

The location of the plurality of passages 14 is dependent upon the particular application for which the body element 10 is intended. For example, with particular reference to Figures 2-5, where the body element 10 is a tubular body 20 made of a plurality of interconnected structural elements 21, such as a stent, stent-graft or graft, which defines a central lumen 22 and has openings 24 at opposing proximal and distal ends of the tubular body 20, the plurality of passages 14 are formed in at least some of the plurality of interconnected structural elements 21 and may be disposed on only the luminal surface 26 or only on the abluminal surface 28 of the tubular body 20, or both. Pores 14 on the luminal surface 26 only will communicate the bioactive agent into the lumen and any body fluid, such as blood, flowing through the central lumen of the tubular body, while pores 14 on only the abluminal surface 26 will communicate the bioactive agent to the abluminal surface of the tubular body. At least a portion of some of the plurality of interior cavities 12 may communicate with either the proximal or distal ends of at least some of the plurality of interconnected structural elements 21. In this case, the proximal and/or distal ends of at least some of the plurality of interconnected structural elements may be tapered such as to self-cannulating into body tissue during delivery and deployment. The bioactive agent retained with the internal cavity 12 which communicates with the proximal and/or distal ends of at least some of the plurality of interconnected structural elements will then pass out of the proximal and/or distal ends in much the same manner as fluid flowing through an injection needle.

In addition to the foregoing positioning of the pores 14, both the plurality of internal cavities 12 and the plurality of pores 14 may be positioned to be discontinuous and in different circumferential or different longitudinal regions of the tubular body 20. Within a single one of the plurality of interconnected structural elements 21, the internal cavities 12 may be separated by a separation member 25, which completely subtends the internal cavity 12, divides it into discrete discontinuous internal cavities 12. The advantage of forming a plurality of discontinuous internal cavities 12 is that it permits loading of different bioactive agents into different regions of the body member 10 or tubular member 20 to isolate different regions for delivery of different bioactive agents to different sites within a body. For example, a first grouping of a plurality of internal cavities 12 and associated plurality of pores 14 may be located at a proximal end of the tubular body 20, and a second grouping of a plurality of internal cavities 12 and associated plurality of pores 14 may be located at an intermediate region of the tubular body 20, and a third grouping of a plurality of internal cavities 12 and associated plurality of pores 14 may be located at a distal end of the tubular



body 20. A first bioactive agent may be loaded into the first and third grouping of a plurality of internal cavities 12, while a second bioactive agent may be loaded into the second grouping of a plurality of internal cavities 12. Where, for example, the tubular body 20 is an endoluminal stent, stent-graft or graft which is implanted post-angioplasty, the proximal and distal ends of the tubular body 20 are anchored adjacent to healthy tissue while the intermediate region of the tubular body 20 are positioned adjacent to the diseased or injured tissue. In this configuration, a first bioactive agent, such as an endothelial growth factor and/or contrast medium to impart enhanced radioopacity to the tubular body 20 may be carried in the first and third groups of a plurality of internal cavities 12 and associated pores 14, while an anticoagulant, such as heparin, may be carried in the second group of a plurality of internal cavities 12 and associated pores 14. In this manner, the tubular body has enhanced radioopacity to aid in delivery and deployment and endothelial growth factors to enhance endothelialization of the tubular body 20, while delivering an anticoagulant directly to the site of the tissue lesion.

Moreover, where the internal cavities 12 are discontinuous, the plurality of pores 14 may be configured to include degradable plugs which degrade at different rates to expose different bioactive agents in the internal cavities 12 to the body at different points in time. Alternatively or additionally, the degradable plugs may degrade at different to expose the same bioactive agent in different internal cavities 12 at different periods of time to effectively elongate the period of time during which the bioactive agent is delivered. Alternatively, the plurality of pores 14 may be dimensioned to permit different elution rates of the bioactive agent and provide for a more protracted or time-release of the bioactive agent from the internal cavities 12. Moreover, by adjusting the carriers of the bioactive agent, *e.g.*, to provide more or less capacity to elute *in vivo*, in combination with alternate dimensions and orientations of the plurality of pores 14, *e.g.*, luminal or abluminally oriented, both the time of elution and the duration of elution may be adjusted.

The body element 10 is preferably formed of a metal such as titanium, vanadium, aluminum, nickel, tantalum, zirconium, chromium, silver, gold, silicon, magnesium, niobium, scandium, platinum, cobalt, palladium, manganese, molybdenum and alloys thereof, such as zirconium-titanium-tantalum alloys, nitinol, or stainless steel.

Turning to Figures 5-7 there is illustrated an alternative embodiment of the inventive endoluminal stent fabricated from a plurality of tubular structural elements 31 formed into a tubular stent and having a desired geometry. It will be appreciated that the generally hexagonal cell geometric pattern illustrated in Figure 5 is merely exemplary and a myriad of

different geometries of different geometric complexities are contemplated by the invention. Each of the tubular structural elements 31 has a central lumen 37 that forms the internal cavity within each structural element 31. A plurality of separation member 38 may be provided to subdivide the internal cavity 37 into a plurality of discontinuous internal cavities

5 37. Each of the tubular structural elements 31 has a plurality of openings 36 which communicate between the internal cavity 37 and one or both of a luminal surface 33 or an abluminal surface 35 of each of the plurality of tubular structural elements 31. The tubular structural elements 31 may assume any transverse cross-sectional configuration having a central lumen.

10 Those of ordinary skill in the stent forming arts will understand that in order to form a tubular endoluminal stent of tubular elements 31, it is necessary to join at least some of the plurality of tubular elements 31. Conventionally, a plurality of spot-welds 34 which serve to interconnect sections of individual tubular elements 31 in juxtaposed relationship to one and other. The plurality of spot welds 34 may also be employed to seal the internal cavity 37 at  
15 the position of the spot weld, thereby creating a separation member 38 within the internal cavity 37 of each individual tubular element 31 and forming a discontinuous internal cavity 37.

As noted above, the plurality of openings 36 are dimensioned to permit the bioactive agent to elute from the at least one of a plurality of internal cavities 37 and through the  
20 associated plurality of openings 36 by diffusion, osmotic pressure or under the influence of a positive pressure applied by cellular in-growth into the plurality of internal cavities 37 or under positive pressure applied by stress and/or strain exerted on the plurality of internal cavities 37 due to deformation of the individual tubular structural elements 31. Additionally, the positioning of the plurality of openings 36 relative to the individual tubular structural  
25 elements 31 and to the endoluminal stent as a whole may be adapted to deliver varying quantities of or different bioactive agents from different regions of the tubular structural elements 31 or different regions of the endoluminal stent 30. Moreover, proximal and/or distal ends of individual tubular structural elements 31 may be tapered so as to form self-cannulating ends of the individual tubular structural elements 31 which penetrate body tissue  
30 and permit the bioactive agent to be communicated from the internal cavity 37 out the proximal or distal end of the tubular structural element 31 in a manner similar to a hypodermic needle.

In accordance with another embodiment of the present invention, and as illustrated in Figures 8-10, there is provided an implantable device 40 which consists of a structural body

42 having a three-dimensional conformation extending in the X-axis, Y-axis and Z-axis dimensionally. While the illustrated embodiment of the structural body 42 is planar, those of ordinary skill in the medical device fabrication art will understand that it is within the skill of the artisan to fabricate the structural body 42 of any desired three-dimensional conformation depending upon the desired use and indication of the implantable device 40. The three-dimensional conformation of the structural body 42 may be cylindrical, tubular, quadrilinear, planar, spherical, ovular, tetrahedral, curvilinear or virtually any other three-dimensional conformation suitable for implantation into a living body.

Like the above-described embodiments, the structural body 42 has at least one of a plurality of internal cavities 47, each of which carry a bioactive agent 47, and a plurality of openings 44 which pass from at least one upper 46, lower 48 or lateral 45 surface of the structural body 42, through the Z-axis thickness of the body and communicate with the at least one of a plurality of internal cavities 47 in the structural body 42. Where a plurality of internal cavities 47 are provided within the structural body 42, a plurality of bioactive agents 49 may be loaded into the structural body 42 with one or more bioactive agents 49 being loaded into each of the plurality of internal cavities 47.

Each of the above-described preferred embodiments of the present invention may be fabricated by a number of methods. In accordance with present invention, it is contemplated that either forming wrought metal parts, such as capillary tubing, into the implantable device or forming the implantable devices by vacuum deposition techniques are the preferred method of making the implantable structural elements of the present invention. Where an implantable device is to be fabricated of a plurality of individual tubular elements, such as depicted in Figures 5-7, pre-existing microtubular members having an outer diameter, for example, between 60 and 400  $\mu\text{m}$  and a wall thickness of between 10 and 350  $\mu\text{m}$ , may be employed to fabricate extremely small dimensioned devices suitable for intracranial or coronary artery applications. The microtubular members may be formed into a cylindrical endoluminal device, such as by braiding or bending and joining microtubular members together by spot welding. Where ends of the microtubular members are formed to be self-cannulating, the self-cannulating ends may be exposed on the abluminal surface of an endoluminal device at any point along the longitudinal axis thereof. The plurality of openings passing through the wall of each of the individual tubular elements may be formed by microdrilling the openings through the wall and into the internal cavity or lumen of the individual tubular members. The plurality of openings may be laser cut, etched or formed by EDM methods, and may be formed either pre- or post- formation of the tubular elements into

the three-dimensional conformation of the implantable device. Where an implantable device is to be formed from non-preexisting structural elements, vacuum deposition techniques may be employed to form the implantable structural body, such as sputtering, reactive ion etching, chemical vapor deposition, plasma vapor deposition, or the like, as are known in the

5 microelectronics fabrication arts and are more fully described in co-pending, commonly assigned U.S. Patent Application Serial No. 09/443,929, filed November 19, 1999, which is hereby incorporated by reference. Because, the internal cavities and openings must be formed during deposition, the vacuum deposition techniques must be modified to deposit requisite patterns of sacrificial material to form the regions of the internal cavities and

10 openings, over a base layer of structural material, then depositing a second layer of structural material over the sacrificial material and the base layer. The sacrificial material may then be removed, such as by etching, to leave the internal cavities and plurality of openings formed within the deposited bulk material.

An alternative embodiment of the present invention is illustrated in Figures 11 and 12,

15 in which an endoluminal stent configuration is depicted for example only. Those of ordinary skill in the art will understand and recognize that alternative device designs and geometries are also contemplated by the present invention. In accordance with the alternate embodiment of the invention there is illustrated an endoluminal stent 50 comprised of a plurality of structural elements 52 interconnected at a plurality of hinge regions 54 and

20 defining a plurality of interstices 56 bounded by the plurality of structural elements and the plurality of hinge regions 54. As described above, the material used to fabricate the inventive device has a Z-axis wall thickness in the device material. The inventive device incorporates at least one of a plurality of internal cavities 56 within the wall thickness of the material used to form the implantable device or endoluminal stent 50. A plurality of

25 micropores 58 are provided and communicate between a external surface of the device 50 and one of the plurality of internal cavities 56. As noted above, the plurality of micropores 58 are dimensioned to permit the bioactive agent to elute from the at least one of a plurality of internal cavities 56 and through the associated plurality of micropores 58 by diffusion, osmotic pressure or under the influence of a positive pressure applied by cellular in-growth

30 into the plurality of internal cavities 56 or under positive pressure applied by stress and/or strain exerted on the plurality of internal cavities 56 due to deformation of the individual structural elements 52. The plurality of micropores 58 may furthermore be provided to communicate between an internal cavity 56 and either a luminal or abluminal surface of the inventive endoluminal stent, such as to expose the bioactive agent retained within the

plurality of internal cavities 56 either to the blood stream, in the case of luminal micropores 58, and/or to adjacent tissue, in the case of abluminal micropores 58.

The at least one of a plurality of internal cavities 56 may be continuous or discontinuous throughout the inventive device 50. Specifically, in accordance with one  
5 preferred embodiment of the invention, the plurality of internal cavities 56 is discontinuous and each of the plurality of discontinuous internal cavities 56 reside within regions of the device 50 that are substantially non-load bearing regions of the device. In the particular embodiment illustrated in Figure 11, the plurality of hinge regions 54 are devoid of internal cavities 56 because they are load bearing regions of the stent. It is contemplated, however,  
10 that regions of the inventive device 50 that are deformed or that are load bearing may include either continuous internal cavities 56 or discontinuous internal cavities within their wall thickness and provide for elution of a bioactive agent retained within the internal cavity positioned at the load bearing region under the influence of a positive motivating pressure exerted on the bioactive agent by deformation or load stress transferred by the device  
15 geometry to the internal cavity and to the bioactive agent. By providing regions of continuous and discontinuous internal cavities 56, a plurality of bioactive agents may be loaded into different internal cavities 56 for achieving different elution rates and pharmacological effects. Figure 12 is a photomicrograph illustrating a transverse cross-sectional view through an individual structural element 52 illustrating the internal cavity 56.

20 Turning now to Figures 13A-F and 14 there is depicted a method for fabricating the inventive devices for delivery of bioactive agents. In Figure 13A a first layer 64 of a device-forming metal, such as titanium, vanadium, aluminum, nickel, tantalum, zirconium, chromium, silver, gold, silicon, magnesium, niobium, scandium, platinum, cobalt, palladium, manganese, molybdenum and alloys thereof, such as zirconium-titanium-tantalum alloys,  
25 nitinol, or stainless steel is formed onto a sacrificial substrate material 62. A sacrificial layer 66 is formed of a sacrificial material capable of being selectively removed without removing the underlying first layer 64. The next step involves selective removal of portions of the sacrificial layer 66 to leave cavity-forming portions 68 of the first sacrificial layer 66. A second layer 70 of a device forming metal, such as those enumerated above, is then formed  
30 onto the first layer 62 of the device forming metal and covers the cavity-forming portions 68. In the next step, depicted in Figure 13D, the plurality of micropores 72 are formed and pass through the second layer 70 and communicate with the cavity-forming portions 68. The plurality of micropores 72 may be formed by selective removal of the second layer 70 such as by laser or chemical etching. Alternatively, the second layer 70 of the device forming metal

may be selectively formed such as to form the plurality of micropores 70 during forming of the second layer 70. In the next step, depicted in Figure 13E, the sacrificial material of the cavity-forming portions 68 is selectively removed, such as by chemical etching of the cavity-forming portions 68, without removing or effecting the material properties of either the first layer 64 or the second layer 70 of the device material, thereby leaving a plurality of internal cavities 74 defined within the second layer 70 and bounded by the first layer 64 of device material, with the plurality of micropores 70 communicating between the plurality of internal cavities 74 and an external surface of the device material 70. Finally, in step 13F, the sacrificial substrate material 62 is selectively removed thereby obtaining the inventive implantable device. Figure 14 is a photomicrograph illustrating a device formed in Step 13E at the left panel and in Step 13F in the right panel.

In accordance with a preferred embodiment of the present invention, the above method is performed by vacuum deposition techniques to form the inventive implantable device. Suitable processes, include, for example, sputtering, reactive ion etching, chemical vapor deposition, plasma vapor deposition, or the like, as are known in the microelectronics fabrication arts and are more fully described in co-pending, commonly assigned U.S. Patent Application Serial No. 09/443,929, filed November 19, 1999, which is hereby incorporated by reference.

It will be understood by those of ordinary skill in the art that alternative designs of implantable devices, for example grafts, patches, shunts, valves, filters or occluders, that do not require structural elements 52, hinge regions 54 or interstices 56 of an endoluminal stent, yet have a device material with a Z-axis thickness that is suitable for incorporation of the internal cavities and micro-openings or micropores of the present invention to elute a bioactive agent.

Regardless of which fabrication method is employed, the bioactive agent must be loaded into the internal cavities of the implantable device. Loading of the bioactive agent may be accomplished by flowing a liquid or semi-liquid state of the bioactive agent through the plurality of openings and into the internal cavities, either throughout the entire device or in regions of the implantable device. Flow loading may be facilitated by applying positive pressure, temperature change or both, such as is used in hot isostatic pressing (HIP). In HIP the pressurizing medium is typically a gas, and the process is carried out at elevated temperatures for specific time periods. While HIP is typically utilized to densify materials, to heal casting defects and voids, or to bond similar or dissimilar materials it may be used to drive a fluid or semi-fluid from external the implantable device into the internal cavities of

the implantable device. Alternative, diffusion-mediated loading, osmotic loading or vacuum loading may be employed to load the bioactive agent into the internal cavities.

While the present invention has been described with reference to its preferred embodiments, those of ordinary skill in the art will understand and appreciate that variations  
5 in structural materials, bioactive agents, fabrication methods, device configuration or device indication and use may be made without departing from the invention, which is limited in scope only by the claims appended hereto.

What is claimed is:

1. An implantable body, comprising:  
a structural body having a three-dimensional conformation and a thickness thereto, at  
5 least one internal cavity residing within the thickness of the structural body, and at least one  
of a plurality of openings communicating between the at least one internal cavity and external  
to the structural body, and at least one bioactive agent disposed in the at least one internal  
cavity, the at least one bioactive agent capable of being released from within the at least one  
internal cavity through the at least one of a plurality of openings, upon implantation of the  
10 structural body into a body in need thereof.
2. The implantable body according to Claim 1, wherein the structural body further  
comprises an endoluminal stent being composed of a plurality of interconnected individual  
structural elements, each of the plurality of interconnected individual structural elements  
15 having the at least one internal cavity, the at least one of a plurality of openings and the at  
least one bioactive agent therein.
3. The implantable body according to Claim 1, wherein the structural body further  
comprises a material selected from the group consisting of titanium, vanadium, aluminum,  
20 nickel, tantalum, zirconium, chromium, silver, gold, silicon, magnesium, niobium, scandium,  
platinum, cobalt, palladium, manganese, molybdenum and alloys thereof, such as zirconium-  
titanium-tantalum alloys, nitinol, and stainless steel.
4. The implantable body according to Claim 1, wherein the bioactive agent  
25 further comprises a pharmacologically active agent selected from the group of hydrophobic  
pharmacologically active agents, hydrophilic pharmacologically active agents, antibiotic  
drugs, antiviral drugs, neoplastic agents, steroids, fibronectin, anti-clotting drugs, anti-platelet  
function drugs, drugs which prevent smooth muscle cell growth on inner surface wall of  
vessel, heparin, heparin fragments, aspirin, coumadin, tissue plasminogen activator,  
30 urokinase, hirudin, streptokinase, antiproliferatives, antioxidants, antimetabolites,  
thromboxane inhibitors, non-steroidal and steroidal anti-inflammatory drugs,  
immunosuppressants, such as rapamycin, beta and calcium channel blockers, genetic materials  
including DNA and RNA fragments, complete expression genes, antibodies, lymphokines,



growth factors, prostaglandins, leukotrienes, laminin, elastin, collagen, nitric oxide, and integrins.

5. An endoluminal stent, comprising:

5 a tubular member having a central lumen passing longitudinally through the tubular member and open at opposing ends of the tubular member, a luminal surface and an abluminal surface and a wall thickness defined therebetween, at least one internal cavity residing within the wall thickness in at least some portions of the tubular member, a plurality of openings communicating between the at least one internal cavity and at least one of the  
10 luminal surface, abluminal surface, proximal end or distal end of the tubular member, and at least one bioactive agent disposed in the at least one internal cavity.

6. The implantable body according to Claim 5, wherein the structural body further comprises a material selected from the group consisting of titanium, vanadium, aluminum,  
15 nickel, tantalum, zirconium, chromium, silver, gold, silicon, magnesium, niobium, scandium, platinum, cobalt, palladium, manganese, molybdenum and alloys thereof, such as zirconium-titanium-tantalum alloys, nitinol, and stainless steel.

7. The implantable body according to Claim 6, wherein the bioactive agent  
20 further comprises a pharmacologically active agent selected from the group of antibiotic drugs, antiviral drugs, neoplastic agents, steroids, fibronectin, anti-clotting drugs, anti-platelet function drugs, drugs which prevent smooth muscle cell growth on inner surface wall of vessel, heparin, heparin fragments, aspirin, coumadin, tissue plasminogen activator, urokinase, hirudin, streptokinase, antiproliferatives selected from the group of methotrexate,  
25 cisplatin, fluorouracil and adriamycin), antioxidants selected from the group of ascorbic acid, beta carotene and vitamin E, antimetabolites, thromboxane inhibitors, non-steroidal and steroidal anti-inflammatory drugs, immunosuppressants, such as rapamycin, beta and calcium channel blockers, genetic materials including DNA and RNA fragments, complete expression genes, antibodies, lymphokines, growth factors, vascular endothelial growth factor, fibroblast  
30 growth factor, prostaglandins, leukotrienes, laminin, elastin, collagen, nitric oxide, and integrins.

8. An endoluminal stent, comprising:

a cylindrical member comprised of a plurality of structural elements defining walls of the cylindrical member, a plurality of discontinuous interior cavities disposed in at least some of the plurality of structural elements, and a plurality of openings communicating between  
5 each of the plurality of discontinuous interior cavities and external the stent, and at least one bioactive agent disposed within the plurality of discontinuous interior cavities.

9. The implantable body according to Claim 8, wherein the structural body further comprises a material selected from the group consisting of titanium, vanadium, aluminum,  
10 nickel, tantalum, zirconium, chromium, silver, gold, silicon, magnesium, niobium, scandium, platinum, cobalt, palladium, manganese, molybdenum and alloys thereof, such as zirconium-titanium-tantalum alloys, nitinol, and stainless steel.

10. The implantable body according to Claim 9, wherein the bioactive agent  
15 further comprises a pharmacologically active agent selected from the group of antibiotic drugs, antiviral drugs, neoplastic agents, steroids, fibronectin, anti-clotting drugs, anti-platelet function drugs, drugs which prevent smooth muscle cell growth on inner surface wall of vessel, heparin, heparin fragments, aspirin, coumadin, tissue plasminogen activator, urokinase, hirudin, streptokinase, antiproliferative agents, antioxidant agents, antimetabolites,  
20 thromboxane inhibitors, non-steroidal and steroidal anti-inflammatory drugs, immunosuppressants, rapamycin, beta and calcium channel blockers, genetic materials including DNA and RNA fragments, complete expression genes, antibodies, lymphokines, growth factors, vascular endothelial growth factor, fibroblast growth factor, prostaglandins, leukotrienes, laminin, elastin, collagen, nitric oxide and integrins.

25

11. An endoluminal stent for delivering a bioactive agent to a situs in a body, comprising a plurality of structural elements interconnected to form a cylindrical member, each of the plurality of structural elements a the wall thickness further comprising a plurality of lamina positioned concentrically through the wall thickness and a plurality of tubular member having an exterior surface and an interior surface together defining a tubular member thickness of said tubular member, said tubular member having a recessed active agent receiving portion formed in said exterior surface, said recessed active agent receiving portion having a depth less than said tubular member thickness, said recessed active agent receiving portion containing at least one active agent.

10

12. The endoluminal stent according to Claim 11, wherein the endoluminal stent is fabricated by vapor deposition of at least one metal.

13. The implantable body according to Claim 12, wherein the at least one metal is selected the group consisting of titanium, vanadium, aluminum, nickel, tantalum, zirconium, chromium, silver, gold, silicon, magnesium, niobium, scandium, platinum, cobalt, palladium, manganese, molybdenum and alloys thereof, such as zirconium-titanium-tantalum alloys, nitinol, and stainless steel.

14. The implantable body according to Claim 11, wherein the bioactive agent further comprises a pharmacologically active agent selected from the group of antibiotic drugs, antiviral drugs, neoplastic agents, steroids, fibronectin, anti-clotting drugs, anti-platelet function drugs, drugs which prevent smooth muscle cell growth on inner surface wall of vessel, heparin, heparin fragments, aspirin, coumadin, tissue plasminogen activator, urokinase, hirudin, streptokinase, antiproliferatives, antioxidants, antimetabolites, thromboxane inhibitors, non-steroidal and steroidal anti-inflammatory drugs, immunosuppressants, such as rapamycin, beta and calcium channel blockers, genetic materials including DNA and RNA fragments, complete expression genes, antibodies, lymphokines, growth factors, prostaglandins, leukotrienes, laminin, elastin, collagen, nitric oxide (NO), and integrins.

30

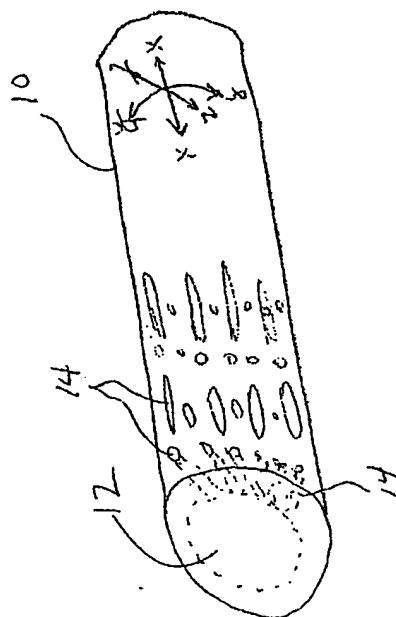
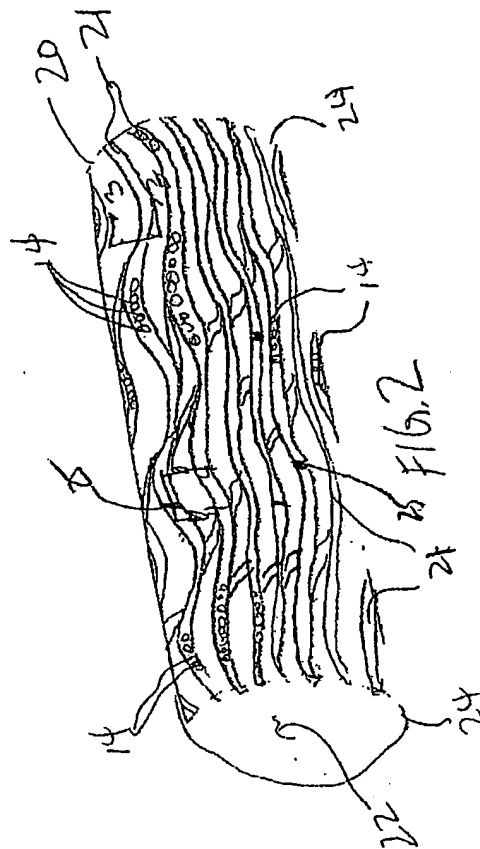
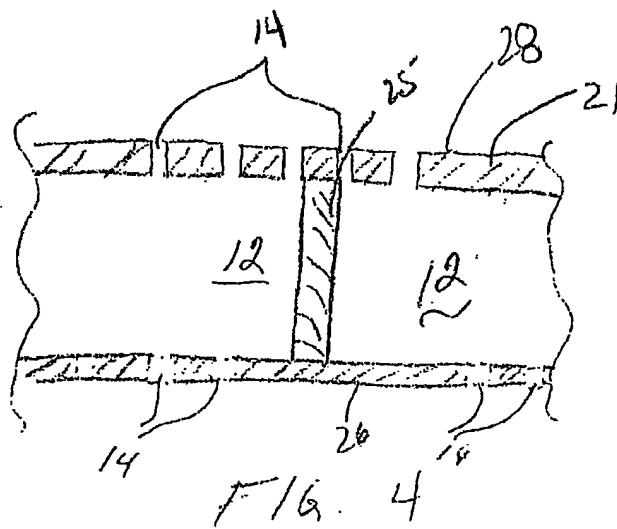
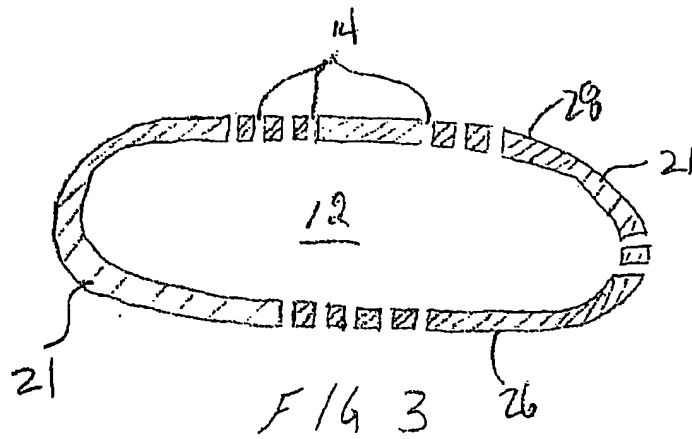
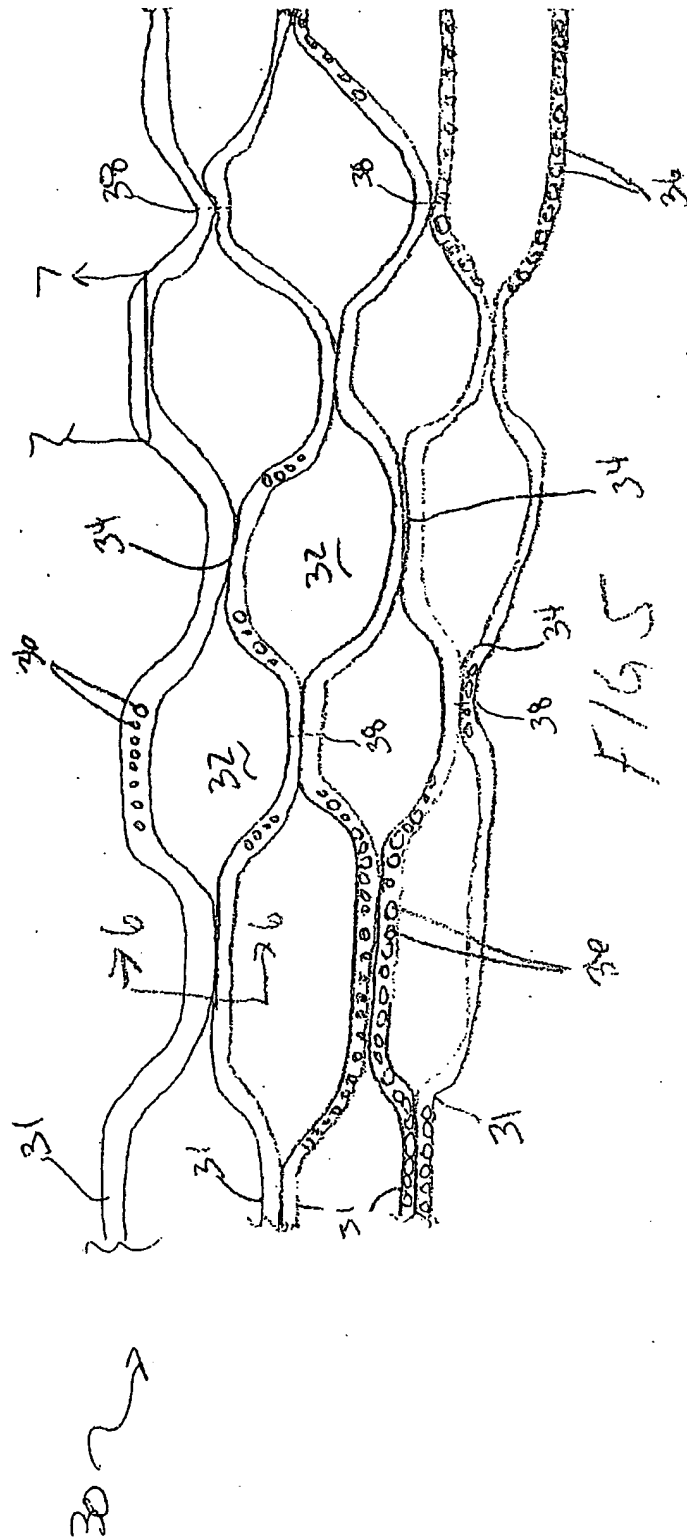


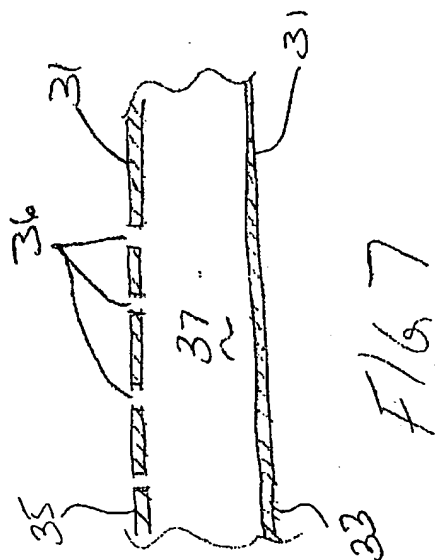
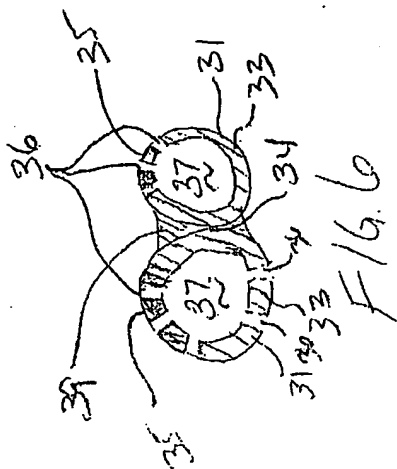
FIG. 1

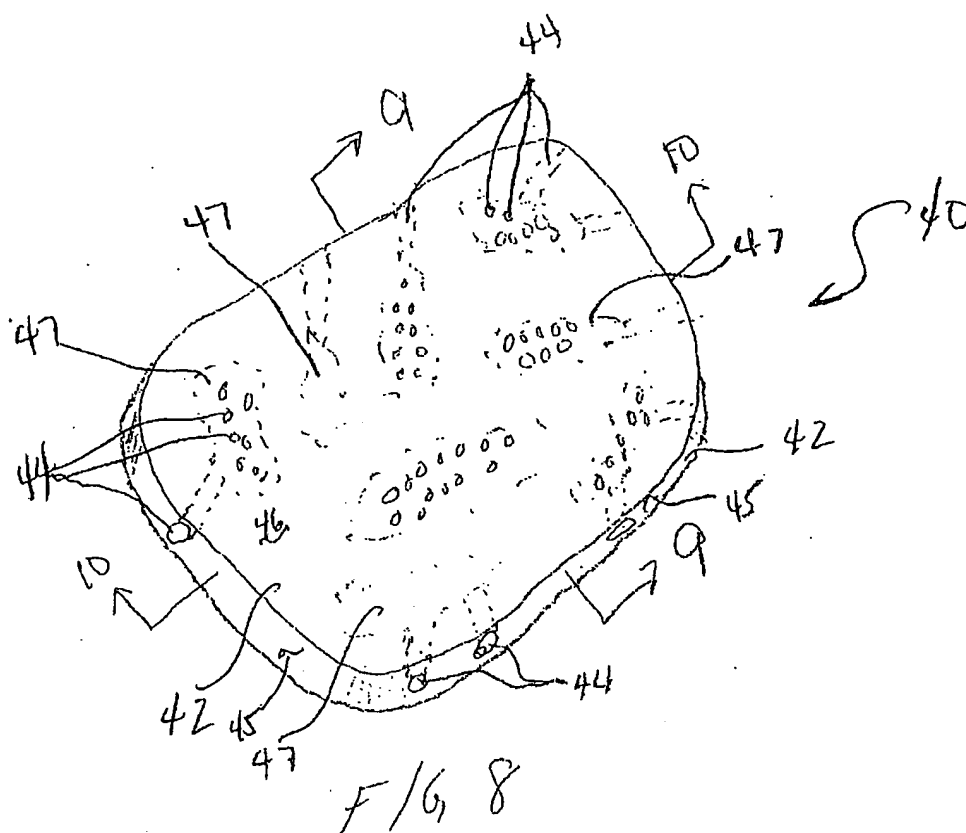


2/9











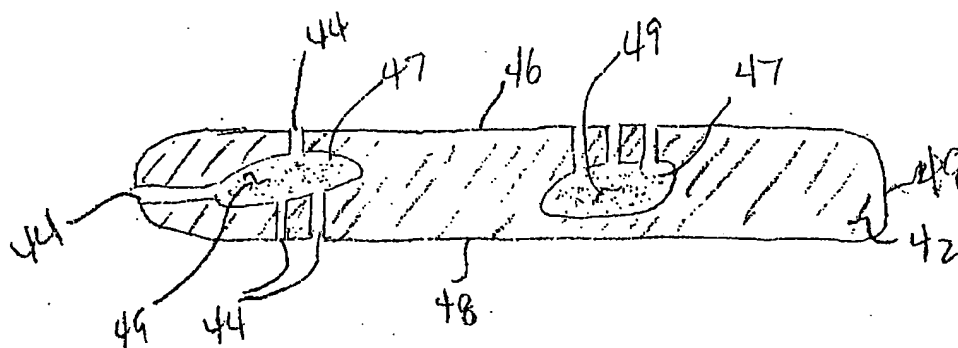


FIG. 9

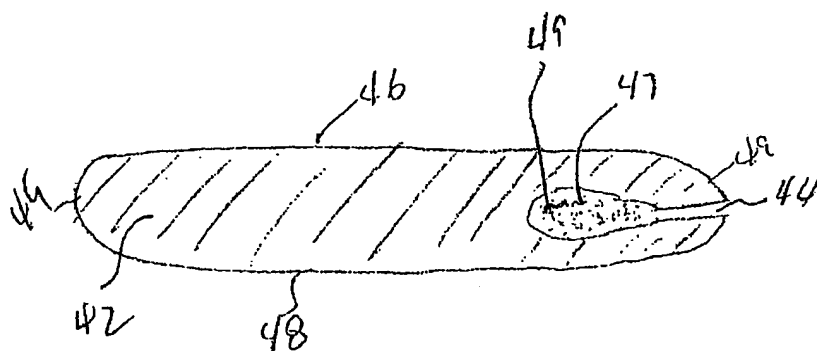


FIG. 10

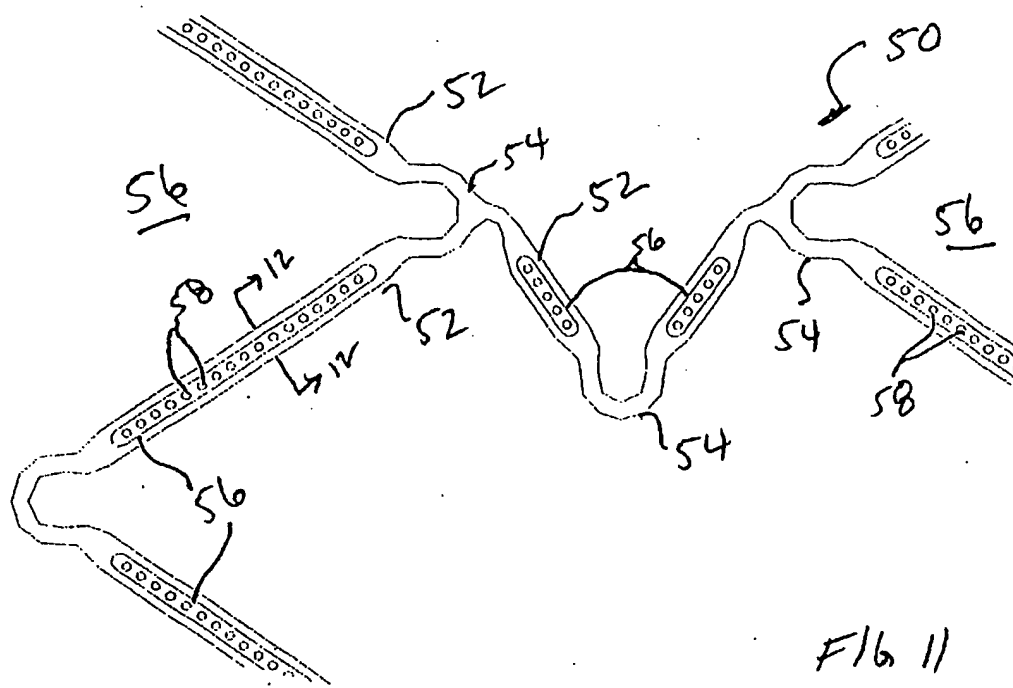


FIG 11

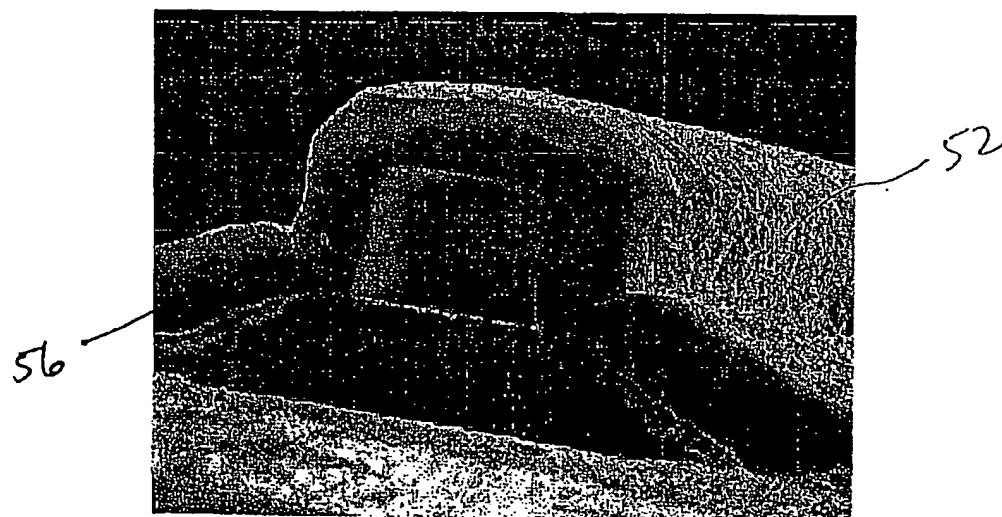
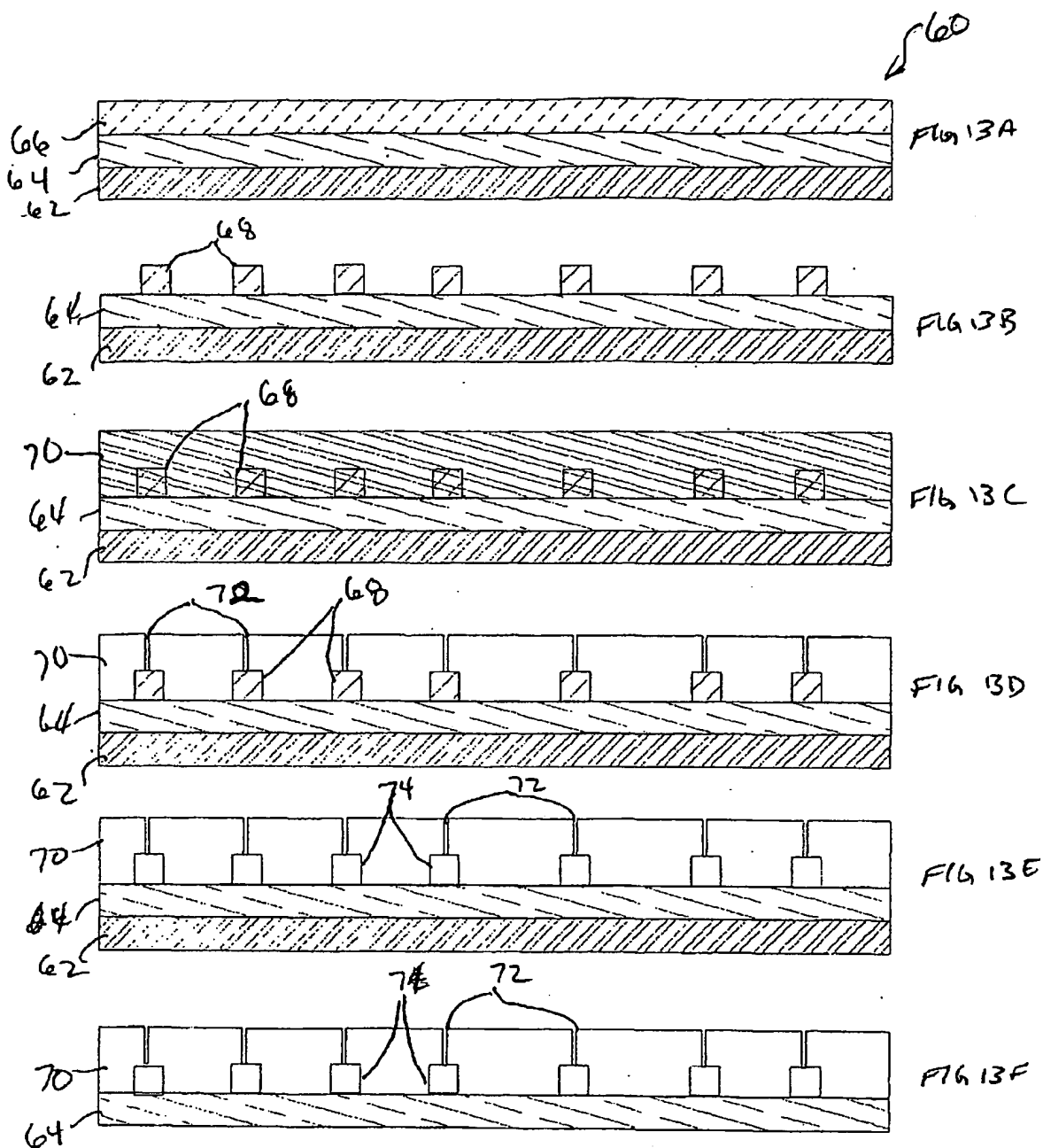


FIG 12



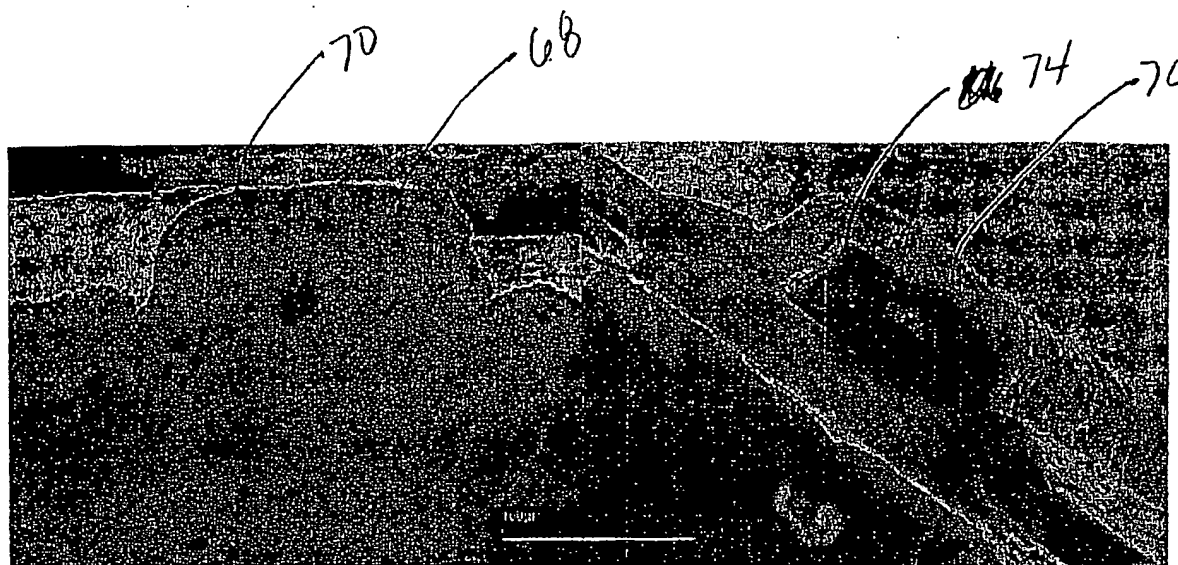


FIG 14

64

## INTERNATIONAL SEARCH REPORT

In International Application No.

PCT/US 01/44642

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61L27/04 A61L27/54 A61F2/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61L A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, COMPENDEX, EMBASE, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 071 305 A (BROWN JAMES E ET AL) 6 June 2000 (2000-06-06) column 5, line 6 - line 27 column 6, line 6 - line 21 column 7, line 12 - line 24 figures claims	1-14
X	EP 0 875 218 A (ADVANCED CARDIOVASCULAR SYSTEM) 4 November 1998 (1998-11-04) column 2, line 11 - line 25 column 10, line 52 - column 11, line 9 figures claims	1-14

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*B\* document member of the same patent family

Date of the actual completion of the international search

25 June 2002

Date of mailing of the international search report

04/07/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel: (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Fey-Lamprecht, F

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 01/44642

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 411 550 A (KARWOSKI THEODORE ET AL) 2 May 1995 (1995-05-02) column 5, line 31 - line 48 column 7, line 66 - column 8, line 12 column 10, line 34 - line 44 figures claims	1-14
X	WO 00 18327 A (IMPRA INC) 6 April 2000 (2000-04-06) page 8, line 21 - page 9, line 15 figures claims	1-14
X	US 5 370 681 A (KARWOSKI THEODORE ET AL) 6 December 1994 (1994-12-06) column 3, line 14 - line 23 column 8, line 30 - line 49 table 1 figures claims	1-14
X	WO 97 46268 A (COOK INC ; FISCHER FRANK J JR (US)) 11 December 1997 (1997-12-11) page 6, line 19 - page 7, line 9 page 7, line 28 - page 8, line 18 page 10, line 10 - page 11, line 21 figures claims	1-14
P, X	WO 01 17577 A (ADVANCED CARDIOVASCULAR SYSTEM) 15 March 2001 (2001-03-15) page 3, line 19 - line 28 page 6, line 17 - page 7, line 21 page 9, line 6 - page 10, line 7 claims	1-14
P, X	WO 00 74584 A (AHERN JOHN E ; BARD INC C R (US)) 14 December 2000 (2000-12-14) page 3, line 17 - page 4, line 5 page 4, line 27 - page 5, line 14 page 6, line 9 - line 31 claims	1-4
P, X	WO 01 12158 A (UNIV JEFFERSON ; JOSEPH JEFFREY I (US)) 22 February 2001 (2001-02-22) page 6, line 4 - page 7, line 5 page 11, line 14 - page 12, line 20 claims	1-4

## INTERNATIONAL SEARCH REPORT

In International Application No.

PCT/US 01/44642

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 6071305	A	06-06-2000	AU 5266698 A	22-06-1998
			WO 9823228 A1	04-06-1998
			ZA 9710342 A	10-06-1998
EP 0875218	A	04-11-1998	US 5843172 A	01-12-1998
			CA 2235031 A1	15-10-1998
			EP 0875218 A2	04-11-1998
			JP 10295823 A	10-11-1998
US 5411550	A	02-05-1995	AU 676666 B2	20-03-1997
			AU 2656292 A	27-04-1993
			CA 2119252 A1	01-04-1993
			EP 1101457 A2	23-05-2001
			EP 1101458 A2	23-05-2001
			EP 1099423 A2	16-05-2001
			EP 1099424 A2	16-05-2001
			EP 0604546 A1	06-07-1994
			JP 7501956 T	02-03-1995
			WO 9305730 A1	01-04-1993
WO 0018327	A	06-04-2000	US 6358276 B1	19-03-2002
			EP 1117347 A1	25-07-2001
			WO 0018327 A1	06-04-2000
US 5370681	A	06-12-1994	AU 676666 B2	20-03-1997
			AU 2656292 A	27-04-1993
			CA 2119252 A1	01-04-1993
			EP 1101457 A2	23-05-2001
			EP 1101458 A2	23-05-2001
			EP 1099423 A2	16-05-2001
			EP 1099424 A2	16-05-2001
			EP 0604546 A1	06-07-1994
			JP 7501956 T	02-03-1995
			WO 9305730 A1	01-04-1993
WO 9746268	A	11-12-1997	AU 716764 B2	09-03-2000
			AU 3294497 A	05-01-1998
			EP 0906129 A1	07-04-1999
			JP 2000511450 T	05-09-2000
			WO 9746268 A1	11-12-1997
WO 0117577	A	15-03-2001	US 6287628 B1	11-09-2001
			US 6379381 B1	30-04-2002
			AU 6941800 A	10-04-2001
			EP 1214108 A1	19-06-2002
			WO 0117577 A1	15-03-2001
WO 0074584	A	14-12-2000	WO 0074584 A1	14-12-2000
WO 0112158	A	22-02-2001	AU 6776700 A	13-03-2001
			EP 1206243 A1	22-05-2002
			WO 0112158 A1	22-02-2001